



H.M. Gladney

HMG Consulting LLC

13 February 2002

LEP & REF
Rwm #307

#31 Reg for
refund

03-27-02

Office of the Asst. Commissioner for Patents

USPTO

Washington, D.C.

Dear Sir or Madam:

This is a request for refund of \$586.00 which was a duplicate collection of filing fees caused as a consequence of a problem with U.S. Post Office delivery problem, possibly a side effect of the December 2001 anthrax scare.

The facts, evidenced by attachments, are that on 11th December 2001 I submitted an application with my cheque #136. That disappeared in the mails; 3 weeks later the U.S. Post Office trace of the certified letter could not find it; so I assumed it permanently lost and resubmitted, this time with my cheque #139. Each cheque was for \$586. (Images of both superposed on images of your fee transmittal forms are attached).

The duplicate submission was acknowledged on 4th Jan 2002 with your number **JC826 U.S. PTO 10/039143** and my bank paid cheque #139 a couple of weeks later. Then the first submission must have arrived (seven weeks after it was mailed) and was acknowledged on 15th Jan 2002 with your number **J1002 U.S. PTO 10/047392**. (Attached is a single photocopy that shows both the 4th Jan and the 15th Jan actions of your office. My bank paid cheque #136 at the beginning of February, as I noticed just a few days ago.

Please combine your office actions for these two applications, as they are identical. And please refund one fee of \$586. at your earliest convenience.

Yours truly,

H.M. Gladney

Attachment: 3 photocopy sheets as described above.

20044 Glen Brae Drive, Saratoga, California 95070

(408)867-5454 (voice)

hgladney@pacbell.net

(408)867-3933 (fax)

<http://home.pacbell.net/hgladney/>

01/15/02



Please type a plus sign (+) inside this box



PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N/A

First Inventor

Henry Martin GLADNEY

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages **19**]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
- Oath or Declaration [Total Pages **2**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | | | |
|--|---|-----------|--|------------------------------|----------------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | or <input checked="" type="checkbox"/> | Correspondence address below | |
| Name | <i>H. M. GLADNEY</i> | | | | |
| Address | <i>20044 GLEN BRAE DRIVE</i> | | | | |
| City | <i>SARATOGA</i> | State | <i>CALIF.</i> | Zip Code | <i>95070</i> |
| Country | <i>USA</i> | Telephone | <i>(408)867-5454</i> | Fax | <i>(408)867-3933</i> |

| | | | |
|-------------------|----------------------|-----------------------------------|---------------------------|
| Name (Print/Type) | <i>H. M. GLADNEY</i> | Registration No. (Attorney/Agent) | <i>N/A</i> |
| Signature | <i>Henry Gladney</i> | | Date <i>11th Dec 2001</i> |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/04/02



Please type a plus sign (+) inside the circle. **OLPE**
 This form is for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

FEB 27 2002

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

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(Submit an original and a duplicate for fee processing)
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See 37 CFR 1.27.
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 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
- Oath or Declaration [Total Pages **2**]
 - a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.62(d))
 - b. (for continuation/divisional)
 - i. **DELETION OF**
Signed statement atta
named in the prior ap
1.63(d)(2) and 1.33(b)
- Application Data Sheet. S

18. If a CONTINUING APPLICATION, or in an Application Data Sheet under

 Continuation DivisionsPrior application information: **Ex1**For CONTINUATION OR DIVISIONAL APP
Box 6b, is considered a part of the disclosure
The incorporation can only be relied upon Customer Number or Bar Code LabelName **H.**Address **26**City **SARATOGA**

| | |
|------------------------|-----------------------------|
| Attorney Docket No. | N/A |
| First Inventor | Henry Martin GLADNEY |
| Title | |
| Express Mail Label No. | |

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
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 - b. Specification Sequence Listing on:
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ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment

*Submitted**Patent Application (19 pages + 6 pages
of drawings)**Completed Forms**PTO/SB/101 (2 pages)**PTO/SB/101A***J1002 U.S. PTO****10/047392***PTO/SB/105***01/15/02***PTO/SB/117**Personal check for \$586.00*

| | | | | | |
|---------|----------------------|-----------|----------------------|----------|--------------|
| Name | H. M. GLADNEY | State | CALIF. | Zip Code | 95070 |
| City | SARATOGA | Telephone | (408)867-5454 | | |
| Country | USA | Fax | (408)867-3933 | | |

| | | | |
|-------------------|----------------------|-----------------------------------|---------------------------|
| Name (Print/Type) | H. M. GLADNEY | Registration No. (Attorney/Agent) | N/A |
| Signature | <i>Henry Gladney</i> | | Date 11th Dec 2001 |

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JC826 US PRO
10/047392



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FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 586.00)

Complete if Known

| | |
|----------------------|---------------------------|
| Application Number | |
| Filing Date | 11 th DEC 2001 |
| First Named Inventor | HENRY M. GLADNEY |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | N/A |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

| | |
|------------------------|--|
| Deposit Account Number | |
| Deposit Account Name | |

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740 | 201 370 | Utility filing fee | 370 |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$ 370)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|----------|--------------|----------------|----------|
| Total Claims | -20** = | 10 | X 9 | = 90 |
| Independent Claims | - 3*** = | 3 | X 42 | = 126 |
| Multiple Dependent | | | | = - |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 216)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large E

Fee Code

105

127

139

147

112

113

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 586.00)

Complete if Known

| | |
|----------------------|---------------------------|
| Application Number | |
| Filing Date | 11 th DEC 2001 |
| First Named Inventor | HENRY M. GLADNEY |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | N/A |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number: _____
 Deposit Account Name: _____

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 920 | 217 460 | Extension for reply within third month | |
| 118 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|----------------------|--------------------------------------|------|-----------|----------------|
| Name (Print/Type) | HENRY M. GLADNEY | Registration No. (Attorney/Agent) | N/A | Telephone | (408) 867-5454 |
| Signature | <i>Henry Gladney</i> | | Date | 12/11/01 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

H. M. Gladney
20044 Glen Brae Drive
Saratoga, CA 95070

In re Application of
Gladney, Henry M.
Filed: 01/04/02
Application No. 10/039143
Attorney Docket No.
For: Method, system, and data structure for
trustworthy digital document interchange
and preservation

: LETTER REGARDING
: MERGING OF APPLICATION
: FILES

This is a response to the requests filed on 02/27/02 and 07/17/02, in above-identified application, requesting that the file of the above-identified application and the file that contains the later-received application papers be merged. The request is **granted**.

Applicant is hereby notified that the file of the above-identified application and the file that contains the later-received application papers will be merged.

All papers in the file that contains the later-received papers will be placed in application No. 10/039143. All future correspondence concerning the application should be directed to this application number.

Application No. 10/047392, assigned to the file that contains the later-received papers, will no longer be active.

The filing fee paid in the file that contains the later-received papers will be refunded.

All notices mailed by the Office in the file that contains the later-received papers are hereby vacated.

Telephone inquiries concerning this communication should be directed to the Customer Service Center, Office of Initial Patent Examination Division, at (703) 308-1202.

Delora Willard 9/27/02